

**To:** Vice Chancellors for Research  
Technology Transfer Advisory Committee  
IP Directors

**Subject:** Revised University Licensing Guidelines (February 1, 2012)

In 2000, the University issued Licensing Guidelines to articulate a general understanding of the objectives, practices and issues involved in the University licensing program. These Guidelines were subsequently updated in October 2001. Since then, University licensing practices have evolved, and new issues have emerged. Therefore, the Guidelines have been recently revised to reflect the evolution of the licensing profession and practices at the University.

During the revision process, the University Licensing Guidelines have undergone review and comment by campus and Laboratory-based licensing managers, industry contract and grant managers, the Office of General Counsel, and both the Innovation Alliances and Services and Research Policy Analysis and Coordination units at Office of the President. Input was also sought from the Technology Transfer Advisory Committee.

In addition to updating the existing sections, a new section called “Technology-Specific Considerations” was added to address some of the nuances of certain types of technologies or issues. As emphasized during the 2001 issuance, each licensing decision is unique and based on case-specific considerations. This document is not meant to provide absolute standards or licensing determinations, but to identify the myriad issues that need to be considered and balanced in a licensing relationship.

This RPAC Operating Guidance Memo replaces in its entirety [OTT Operating Guidance Memo 00-05](#) and its [supplement](#). Please replace all copies of the University Licensing Guidelines issued on October 1, 2001 with the enclosed February 1, 2012 revised version. These Guidelines will be included as an Appendix in the next revision of University Bulletin and Finance Bulletin G-40, “University of California Patent Program,” and may be updated from time to time as determined necessary by the University.

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Attachment: University Licensing Guidelines (February 1, 2012)