

To: Contract and Grant Officers

Subject: National Science Foundation (NSF) Academic Technology Transfer and Commercialization of University Research

Background

On October 28, 2011, the National Science Foundation (NSF) issued a notice that its entire suite of [Award Terms and Conditions](#) had been revised to implement new requirements. The revised terms apply to all new NSF awards and funding amendments to existing NSF awards issued on or after February 1, 2012.

One of the significant changes to the terms is the addition of a new article, *Academic Technology Transfer and Commercialization of University Research*. This term requires higher education institutions that have NSF research support and at least \$25,000,000 in total Federal research grants in the most recently completed federal fiscal year to submit to NSF the URL that contains information on their transfer of technology and commercialization of research results efforts. This change has been mandated by the America COMPETES Reauthorization Act and only applies to the [NSF Agency Specific Requirements \(ASR\) to the Research Terms and Conditions \(RTC\)](#) and the [Cooperative Agreement Financial & Administrative Terms and Conditions \(CA-FATC\)](#).

Technology Transfer and Commercialization Requirement

This new requirement stipulates that a qualifying awardee must submit the location of a public website that contains information concerning its general approach to and mechanisms for transfer of technology and the commercialization of research results, including:

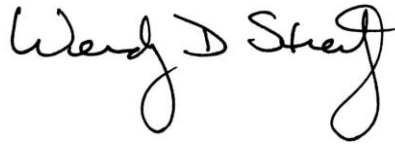
1. Contact information for individuals and university offices responsible for technology transfer and commercialization;
2. Information for both university researchers and industry on the institution's technology licensing and commercialization strategies;
3. Success stories, statistics, and examples of how the university supports commercialization of research results;
4. Technologies available for licensing by the university where appropriate; and
5. Any other information deemed by the institution to be helpful to companies with the potential to commercialize university inventions.

Implementation Guidance

The main website of the Innovation Alliances and Services (IAS) unit in the Office of the President at www.ucop.edu/ott will satisfy this new NSF requirement. Use of the IAS URL is encouraged; however, use of a campus-specific URL that conveys the five key elements of the NSF requirement is permitted. To comply with this requirement, campus sponsored projects offices must email the website link to ACA520@nsf.gov.

Contact:

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A handwritten signature in black ink, reading "Wendy D. Streitz". The signature is fluid and cursive, with the first and last names being more prominent.

Wendy D. Streitz
Executive Director
Research Policy Analysis and Coordination

cc: Intellectual Property Managers