Enclosure 3

NASA FAR SUPPLEMENT CLAUSE DATABASE

THROUGH DECEMBER 31, 1994

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NASA FAR SUPPLEMENT CLAUSE DATABASE SUMMARY

| 0 | CLAUSE NO. | TITLE | DATE | PRESCRIBED AT | REFERENCE | COMMENT |
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| | 1852.204-70 | Report on NASA Subcontracts | NOV 1992 | 18-4.672 for contracts and modifications over \$500,000 | None | Requires reporting on NASA form 667 for each subcontract over \$25,000. |
| | 1852.204-76 | Security Requirements for Unclassified Automated Information Resources | SEP 1993 | 1804.470-4(a) for contracts involving access to unclassified automated information that supports mission-critical functions | FRS 163 (9/93) | Requires contractor to do personnel screening (incl. arranging for fingerprinting) and obtain user responsibility agreements as required. |
| | 1852.208-81 | Printing and Duplicating | AUG 1993 | 18-8.870 for contracts requiring significant printing and/or reproduction | FRS 163 (9/93) | Authorizes the contractor to make up to 5,000 copies of one page or 25,000 total copies of reports produced under the contract. Duplicating beyond this, or any printing, must be approved and done in accordance with the Govt. Printing and Binding Regs. |
| C | \852.212-70 | Notice of Delay | DEC 1988 | 18-12.104-70(a) in contracts where it is desirable to provide for contractor notice of anticipated delays | None | Requires the contractor to notify the Contracting Officer of any anticipated delay. |
| | 1852.215-81 | Proposal Page Limitations | JAN 1994 | 1815.407-70(j) for all competitive RFPs | None | Page limitations will be specified separately in each solicitation. |
| | 1852.216-81 | Estimated Cost | DEC 1988 | 18-16.307-70(f) for all term cost reimbursement type contracts | None | Specifies total estimater cost for complete performance of the contract. |
| | 1852.219-74 | Use of Rural Area Small Businesses | SEP 1990 | 18-19.7013 for domestic contracts over \$500,000 or that offer substantial subcontracting possibilities | None | Contractor must use best efforts to subcontract with small businesses located in rural areas. |
| | 1852.219-75 | Small Business and Small Disadvantaged Business Subcontracting Reporting | SEP 1992 | 1819.708-70(b) for contracts containing FAR 52.219-9. | Letter from David Mears to Jonas Neihardt dated February 8, 1993 | Requires quarterly submission of SF 295. This clause is being protested by the University. |
| C | 1852.219-76 | NASA Small and Disadvantaged Business Goal | JUL 1991 | 18-19.7004 for all contracts over \$25,000 | None | Contractor must assist NASA in achieving its goal of awarding 8% of total subcontract dollars to small businesses and small disadvantaged businesses (including |

small disadvantaged businesses (including minority institutions and

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| | | | | | | historically black colleges and universities). |
| | 1852.227-11 | Patent Rights - Retention by the Contractor (Short Form) | [N.D.] | 18-27.373(a) for contracts containing FAR clause 52.227-11 (same title) | C&G Memo 84-21 Supp. 1 (6/14/85) | Adds requirements to FAR 52.227-11 (same title) regarding invention reporting and flowdown of NFS 1852.227-70 (New Technology) to commercial subcontractors. Most of the guidance in C&G Memo 84-21 Supp. 1 is still current. |
| | 1852.227-14 | Rights in Data - General | [N.D.] | 18-27.409(e) for contracts containing FAR clause 52.227-14 (same title) except for contracts for research at universities | | contractor to secure permission from NASA in |
| | 1852.227-70 | New Technology | APR 1988 | 18-27.373(b) for most domestic R&D contracts with other than small businesses or nonprofit institutions | | Not applicable to the University. Clause is similar to pre-Bayh-Dole rule giving government title to invention (unless the government waived its rights) and license to the contractor. |
| | | Requests of Waiver for Rights to Inventions | | 18-27.373(d) for contracts that contain NFS clause 1852.227-70 (New Technology) | | Not applicable to the University. This clause outlines the procedure for requesting the waiver discussed in comment to clause 1852.227-70. |
| | 1852.227-72 | Designation of New Technology Representative and Patent Representative | APR 1984 | 18-27.373(e) for contracts containing either NFS clauses 1852.227-11 or 1852.227-70 | C&G Memo 84-21 Supp. 1 (6/14/85) | Used only when the clause at 1852.227-11 or 1852.227-70 is a part of the contract. Clause specifies who is to receive invention reports and other correspondence relating to intellectual property produced under the contract. |
| C | `852.227-84 | Patent Rights Clauses | DEC 1989 | 18-27.373(f) for domestic R&D contracts that may be awarded to small businesses or nonprofit organizations | None | This clause ensures that 1852.227-70 is not applicable to a contract awarded to the University. |

| b | PAGE NO. 01/11/95 | 3 | NASA | FAR SUPPLEMENT CLAUSE DATABASE | SUMMARY | |
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| | 1852.227-86 | Commercial Computer Software - Licensing | DEC 1987 | 18-27.409(h) for for contracts involving the acquisition of existing computer software (in lieu of FAR 52.227-19) | None | Applies if contractor has developed software for commercial sale and will then be delivering it to the Government. Clause ensures that Government will have the right to use the software for Government purposes. |
| | | Cross-Waiver of Liability for Space Shuttle Services | SEP 1993 | 18-28.371(b) for contracts over \$100,000 involving Space Shuttle payloads, or at 1828.371(e) for contracts under \$100,000 at the C.O.'s discretion. | None | Parties working on a shuttle launch project agree not to sue each other for damages to their property or employees while working on the project |
| C | 1852.228-75 | Minimum Insurance Coverage | OCT 1988 | 18-28.372 for fixed-price contracts performed at government installations | FAR 28.306(b) | Since the University is self-insured, this clause is inappropriate. It would also require the University to waive its rights of subrogation against the U.S., which is unacceptable. The clause is optional and should not be accepted. |
| | | Cross-Waiver of Liability for Space Station Activities | DEC 1994 | 1828.371(d) for most contracts over \$100,000 involving space station services, or at 1828.371(e) for contracts under \$100,000 at the C.O.'s discretion. | None | See 1852.228-72 |
| | | Cross-Waiver of Liability for NASA Expendable Launch Vehicle (ELV) Launches | SEP 1993 | 1828.371(c) for most contracts over \$100,000 involving ELV launch services, or at 1828.371(e) for contracts under \$100,000 at the C.O.'s discretion. | None | See 1852.228-72 |
| | 1852.231-71 | Determination of Compensation Reasonableness | MAR 1994 | 1831.205-671 for most service contracts over \$500,000 | None | Not applicable to research |
| | 1852.232-12 | Advance Payments | [N.D.] | 18-32.412 for contracts containing FAR clause 52.232-12 (same title) | None | Specifies a dollar limit for unliquidated advances in addition to the percentage specified in FAR 52.232-12. |
| C | *852.232-81 | Contract Funding | JUN 1990 | 18-32.705-270(b) for contracts containing FAR clause 52.232-22 (Limitation of Funds) | None | Allows the Contracting Officer to specify a dollar amount allotted, and the estimated period of performance for the |

of performance, for the

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| | | | | | | contract. |
| | 1852.235-70 | Center for Aerospace Information | NOV 1992 | 18-27.409(i) and 18-35.070(a) for all R&D contracts | None | Encourages contractor to make use of the Center for AeroSpace Information. Reiterates that last page of any tech. report be on SF298. |
| | 1852.235-71 | Key Personnel and Facilities | MAR 1989 | 18-35.070(b) for contracts awarded on the basis that the contractor has special capabilities | None | Identifies key personnel and requires NASA approval to remove, replace or divert any of the listed people. |
| | 1852.242-70 | Technical Direction | SEP 1993 | 18-42.7001 for contracts where technical direction is appropriate | None | Clause is not authorized for use in contracts with educational institutions. |
| | 1852.242-71 | Travel Outside of the United States | DEC 1988 | 18-42.7002 for contracts under which contractor personnel may travel outside the U.S. | | Use of the clause is authorized when it is 'appropriate to require Government approval of the travel.' |
| $\left(\right)$ | `852.242-73 | NASA Contractor Financial Management Reporting | APR 1994 | 18-42.7202(a) for contracts that require submission of any of the NASA Form 533 series of reports (excluding Form 533P) | | Requires financial reporting to be done on Form 533 and refers to contract schedule for specification of reporting categories and frequency of reporting. |
| | 1852.243-70 | Engineering Change Proposals I and/or II | SEP 1993 | 1843.205-70(a) when impact evaluation information is required | See DFARS 252.243-7000 | Either party may initiate engineering change proposals. Alt I is used to stop the contractor from asking for small changes. Alt II is used in cost reimbursement contracts. Local form may be substituted for MIL-STD form used to prepare proposal. |
| | 1852.244-70 | Geographic Participation in the Aerospace Program | APR 1985 | 18-44.170 for all R&D contracts over \$500,000 | None . | Contractor will use best efforts to seek subcontractors from all geographic regions. |
| $\left(\right)$ | 1852.245-70 | Acquisition of Centrally Reportable Equipment | MAR 1989 | 18-45.106-70(a) for all contracts that include a Government property clause (e.g. FAR 52.245-5) | None | Requires federal screening before contractor may acquire certain types of equipment (generally plant equipment or special test equipment) |

special test equipment). Similar to DIPEC

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| | | | | | screening on a DoD contract. |
| 1852.245-73 | Financial Reporting of Government-Owned/Contractor-H eld Property | JUL 1994 | 18-45.106-70(d) for all contracts unless it is virtually certain Government property will not be furnished or acquired | None | Requires property inventory reports and specifies where reports should be sent. Reports are due 10/31 for each year ending 9/30. |
| 1852.245-75 | Title to Equipment | MAR 1989 | 18-45.106-70(f) for contracts containing FAR 52.245-2 (Alt II) or FAR 52.245-5 (Alt I) | None | Usually gives title to property costing less than \$5000 to the contractor. Requires reporting of all property purchased costing more than \$5000 (or other limit set by the Contracting Officer), |

title to which is vested in the Government.