

William Sellers
Research Administration
406A Great Western Bldg.
Berkeley

University of California
Office of the President

University Controller

Research Administration Office

Memo

Operating Guidance

No. 90-6
Supplement No. 1
April 12, 1991

VICE CHANCELLORS — BUSINESS AND FINANCE ADMINISTRATION*
CONTRACTS AND GRANTS OFFICERS
OFFICE OF THE PRESIDENT FUNCTIONAL MANAGERS

Subject: Salary Limitations and Supplementation

Enclosure 1 is a copy of a memo distributed by Associate Vice President Moore to Academic Vice Chancellors, announcing the elimination of the NSF salary cap effective for FY 1991 funds.

Enclosure 2 is a copy of a memo distributed by Associate Vice President Moore to Academic Vice Chancellors stating that supplementation of NIH/NIMH-capped salaries will no longer be prohibited, effective October 1, 1990. This policy also applies to expenditures under NSF awards that are still subject to a cap because the federal dollars were obligated from FY 1990 funds.

Please note that supplementation is subject to the availability of funds and is discretionary at the option of the Chancellor or designee. No State or Federal funds may be used; in fact the only kind of fund source that can be used is one (such as a private unrestricted gift or medical compensation plan funds) that will allow payments of salary amounts with no effort, since the effort will necessarily be devoted to the NIH/ADAMHA or NSF project.

Refer: Bill Sellers
ATSS 8-582-1638
(415) 642-1638

Subject Index: 02, 06, 07
Organization Index: U-115, F-375,
F-711



David F. Mears
Director
Research Administration Office

Enclosures

cc: Accounting Officers

*Note: The addressees above represent the standard distribution of Contract and Grant Memos. Additional addressees, if any, may be added based on the subject of the Memo. See cc's.

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DAVID PIERPONT GARDNER
President

RECEIVED

WILLIAM R. FRAZER
Senior Vice President—
Academic Affairs

FEB 08 1991

OFFICE OF THE PRESIDENT
300 LAKESIDE DRIVE
OAKLAND, CALIFORNIA 94612-3550

January 29, 1991

RESEARCH ADMINISTRATION

ACADEMIC VICE CHANCELLORS

Dear Colleagues:

RE: Cancellation of National Science Foundation Salary "Cap"

I am pleased to announce that the Fiscal Year 1991 Federal appropriation for the National Science Foundation did not reinstitute the \$95,000 per year cap on salary support charged to NSF grants. According to the attached "Important Notice" from the Office of the Director, NSF, the previous cap will, therefore, not apply to grants made with FY 1991 funds, including those already issued this fiscal year.

Sincerely,

Calvin C. Moore
Associate Vice President
Academic Affairs

Attachment

cc: President Gardner
Chancellors
Senior Vice President Frazer
Senior Vice President Brady
Vice President Farrell
Associate Vice President Hershman
Special Assistant Shaw
Director Switkes
Director Sweet
~~Director Moore~~
Coordinator Dowen
Principal Analyst Capell

National Science Foundation
Office of the Director
Washington, DC 20550

Notice No. 112

November 9, 1990

**IMPORTANT NOTICE
TO
PRESIDENTS OF UNIVERSITIES AND
COLLEGES AND HEADS OF
OTHER NATIONAL SCIENCE FOUNDATION
GRANTEE ORGANIZATIONS**

Subject: NSF FY 1991 Appropriation

On November 5, 1990 the President signed into law the "Departments of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1991" which includes a total appropriation of \$2.316 billion for NSF for Fiscal Year 1991. Together with other budget actions, the passage of this bill removes the possibility of a sequester in FY 1991.

As a result, the reductions projected in Important Notice No. 111 [August 29, 1990] will not take place. NSF will be able to make new awards and renew existing awards in accordance with normal procedures.

Continuing increments funded during the period of budget uncertainty were provisionally funded at 50% of committed levels. Those awards will be supplemented by NSF to restore the balance of funding up to the full levels committed for FY 1991 support. These actions will be unilateral supplements, requiring no further action on the part of the grantee institution. Continuing increments not yet awarded will be funded at full committed levels, subject to award terms and conditions.

In addition, the new appropriation no longer contains the \$95,000 per annum cap on salary support charged to grants made with FY 1991 funds, including those already issued this fiscal year. While grantees may reallocate grant funds, if otherwise allowable, to cover this cost, awards will not be supplemented for this purpose. Also, a few awards funded from prior year appropriations may be subject to the cap. Appropriate language will be included in those awards.

We appreciate the patience of the awardee community during this period of uncertainty.



Frederick M. Bernthal
Acting Director

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DAVID PIERPONT GARDNER
President

WILLIAM R. FRAZER
Senior Vice President—
Academic Affairs

MAR 27 1991

OFFICE OF THE PRESIDENT
300 LAKESIDE DRIVE
OAKLAND, CALIFORNIA 94612-3550

RESEARCH ADMINISTRATION

March 22, 1991

ACADEMIC VICE CHANCELLORS

Dear Colleagues:

RE: Supplementation of Salaries Capped by the National Institutes of Health/Alcohol, Drug Abuse and Mental Health Administration

Supplementation for NIH-capped salaries of University of California faculty will no longer be prohibited. Supplementation is subject to the availability of funds and is not an entitlement. Only available non-State funds may be used. Payment should be made as a stipend using Pay Code 3998 (salary supplementation). Supplementation is discretionary; the Chancellor or designee may authorize supplement for individual faculty. The restriction on supplementation is made effective October 1, 1990, to correspond with the NIH funding cycle, although very few University faculty have been impacted by the salary cap during the academic year.

Questions about supplementation should be directed to Director Ellen Switkes at (415) 987-9479.

Sincerely,

Calvin C. Moore
Associate Vice President
Academic Affairs

cc: President Gardner
Chancellors
Senior Vice President Frazer
Senior Vice President Brady
Vice President Baker
Vice President Hopper
Vice President Farrell
Associate Vice President Hershman
Special Assistant Shaw
University Counsel Beal
University Controller Pastrone
Director Mears
Director Sweet
Director Switkes
Coordinator Downen
Principal Analyst Capell