

**PATENT COORDINATORS  
CONTRACT AND GRANT OFFICERS  
VICE CHANCELLORS - RESEARCH/ADMINISTRATION**

**SUBJECT:** University Licensing Guidelines

Licensing University inventions provides a mechanism to encourage the practical application of University research results for broad public benefit; address the needs of sponsors of University research; build research partnerships with industry to enhance the research and educational experience of researchers and students; and generate royalty income for the further support of research and education. Licensing professionals within the University are charged to pursue these objectives in licensing University inventions. The enclosed University Licensing Guidelines are hereby issued in support of their work.

The issuance of University Licensing Guidelines is intended to support a greater understanding of the objectives, practices, and issues involved in the operation of the University licensing program. It is hoped that the Guidelines will be useful in educational programs and general communications with University faculty and inventors, the general public, legislators, and other parties interested in the University's licensing operations, as well as in the University Technology Transfer program. The Guidelines will also be helpful in supporting a fundamental level of systemwide programmatic consistency across the University licensing operation and may be useful as an introductory orientation tool for new University licensing staff. Finally, the Guidelines may assist in the independent substantive review of University licensing decisions pursuant to the State of California Political Reform Act of 1974 or under University conflicts-of-interest policies.

These University Licensing Guidelines have undergone review and comment by licensing managers and officers within the systemwide Office of Technology Transfer (OTT), the Office of General Counsel, campus and Laboratory-based licensing offices, and an Office of the President working group including representatives from OTT licensing groups, the Campus Liaison Group, and the Academic Affairs Office of Research Policy. Finally, the Guidelines were presented to University Patent Coordinators and the Technology Transfer Advisory Committee (TTAC) for their consideration; and discussed before the systemwide Conflicts-of-Interest Coordinators.

The Guidelines will be included as an Appendix in the next revision of University Business and Finance Bulletin G-40, "University of California Patent Program," and may be updated from time to time.

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If you have any further comment or questions concerning the attached Guidelines, please let me know, or contact Associate Director Acanfora at joe.acanfora@ucop.edu or at (510) 587-6011.

Sincerely,

A handwritten signature in black ink, appearing to read "Alan B. Bennett". The signature is fluid and cursive, with a long horizontal stroke at the end.

Alan B. Bennett  
Executive Director  
Research Administration  
and Technology Transfer

Enclosure: University Licensing Guidelines (December 1, 2000)

cc: Senior Vice President Mullinix  
OTT Associate Directors  
Conflicts-of-Interest Coordinators