

MEMO Operating Guidance

No. 00-05 Supplement 1 October 1, 2001

PATENT COORDINATORS CONTRACT AND GRANT OFFICERS VICE CHANCELLORS--RESEARCH ADMINISTRATION

Subject: Supplement Clarifying the University Licensing Guidelines (October 1, 2001)

Since the University Licensing Guidelines were issued, it has come to my attention that they have been inappropriately represented by some entities in dispute with the University as binding or absolute standards, and excerpts have been quoted out of context. Therefore it is necessary to add some clarifying language to the Guidelines by issuance of this Supplement.

These Guidelines were issued to support a general understanding of the objectives, practices and issues involved in the University licensing program. The considerations described in the Guidelines have never been intended to be applied in an absolute or mechanical manner. Each licensing decision is unique and a matter of professional judgement.

The purpose of this Supplement is to make it clear that the University retains complete discretion in choosing the appropriate licensee for its technology. In addition, minor changes have been made to Section 8 of the guidelines to make it consistent with the recently issued OTT guidance on conflicts of interest in licensing. Please replace all copies of the University Licensing Guidelines issued on December 1, 2000 with the enclosed October 1, 2001 revised version.

Sincerely,

Alan B. Bennett Executive Director Research Administration and Technology Transfer

Attachment: (University Licensing Guidelines, October 1, 2001)

cc: Senior Vice President Mullinix
OTT Associate Directors
Administrative Conflict of Interest Coordinators
Academic Conflict of Interest Coordinators